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CONSENT CALENDAR November 9,2021

To: Honorable Mayor and Members of the City Council

From: Dee Williams-Ridley, City Manager

Submitted by: Jennifer A. Louis, Interim Chief of Police

Subject: Revenue Grant: California Office of Traffic Safety (OTS) for the 2022

Selective Traffic Enforcement Program (STEP) Grant

RECOMMENDATION

Adopt a Resolution authorizing the Chief of Police to accept the "Selective Traffic Enforcement Program (STEP)" grant and enter into the resultant grant agreement and any amendments, with the California Office of Traffic Safety. This OTS grant is for \$180,000 for the period of October 1, 2021 through September 30, 2022, which is Federal Fiscal Year 2022.

FISCAL IMPACTS OF RECOMMENDATION

The total of this project will not exceed \$180,000. Grant revenues will be deposited into budget code 309-71-703-812-0000-000-000-431-110 to offset expenditures. Appropriation of the expenditures is being included in the First Amendment to the Appropriations Ordinance. This grant will fund overtime, benefits, equipment, training costs, and reduce additional General Fund expenditures.

CURRENT SITUATION AND ITS EFFECTS

Securing OTS grant funding is a Strategic Plan Priority Project, advancing our goal to create a resilient, safe, connected, and prepared city.

Currently the Traffic Bureau at the Berkeley Police Department is staffed by one motor officer, one traffic data analyst, one sergeant, and one lieutenant. Motor officers' responsibilities include injury-collision investigations and traffic enforcement. However, resources often deplete rapidly due to ancillary duties and personnel shortages in the operations/patrol division. OTS funding builds upon our current traffic safety efforts by allowing patrol and motor officers opportunities to address traffic safety issues. The BPD utilizes a multipronged approach which includes both education and enforcement.

The most significant aspect is improving pedestrian and bicycle safety, distracted and impaired driving, in addition to and outside of their regularly scheduled duties. Additionally, the funds provide opportunities for leadership development and learning in traffic safety and DUI/impaired driving enforcement, and enhance the department's overall mission of public safety.

Revenue Grant: California Office of Traffic Safety Grant for the 2022 STEP Grant

CONSENT CALENDAR November 9,2021

BACKGROUND

Office of Traffic Safety (OTS) rankings place the City of Berkeley number one for the past seven years in pedestrian and bicycle related injury collisions when compared to fifty-nine other cities with comparable populations. Each year hundreds of people are injured in traffic collisions that occur in the City of Berkeley. Berkeley maintains a high ranking in total fatal and injury collisions, hit and run injury collisions, speed related collisions, nighttime collisions, and motorcycle involved collisions. With assistance from the 2022 Office of Traffic Safety Grant, the department will continue our efforts to reduce the number of community members injured in collisions whether they choose to walk, drive, bike or ride a motorcycle in our city.

In 2018, the City of Berkeley was number one (1/59) for injury collisions involving pedestrians; number one (1/59) for collisions involving bicyclists; number one (1/59) for injury collisions involving bicyclists; and number one (1/59) for collisions involving pedestrians, and fifth (5/59) for injuries and fatal collisions involving motorcyclists. Based on these statistics and OTS rankings, the City of Berkeley is one of the most dangerous cities in the State of California to be a pedestrian or bicyclist.

Between February 2010 and December 2020, there have been twenty-six fatal auto collisions in the City of Berkeley. Thirteen of those resulted in a pedestrian being killed, and five resulted in a bicyclist being killed. To date in 2021, the Berkeley Police Department has investigated five fatal collisions.

The OTS Grant and the City Council's Vision Zero Program have shared ideologies. BPD's participation directly supports two of three of Vision Zero's tenets. BPD's efforts directly support Public Awareness Education and Traffic Enforcement. Public Awareness through our social media, departmental messaging, and direct contact with the public (in the field and at community meetings) and data-driven enforcement of primary collision factor offenses in areas where they have the highest probability of occurring.

ENVIRONMENTAL SUSTAINABILITY AND CLIMATE IMPACTS

The City of Berkeley continues to have one of the highest bicycle and pedestrian usage rates in the County of Alameda and, as a result, there are a large number of bicyclists and pedestrians sharing the roadway with motorists. This grant will support the City of Berkeley's efforts to promote more sustainable forms of transportation, reducing greenhouse gas emissions-with the goal of reducing traffic injuries and fatalities related to all modes of transportation.

RATIONALE FOR RECOMMENDATION

The Police Department requests this OTS grant funding be approved to enhance our current mission of providing a safer environment for pedestrians, bicyclists, motorcyclists, and motorists on all City of Berkeley roadways and highways.

Revenue Grant: California Office of Traffic Safety Grant for the 2022 STEP Grant

CONSENT CALENDAR November 9,2021

ALTERNATIVE ACTIONS CONSIDERED

The California Office of Traffic Safety currently provides the only known grant funding specific to traffic enforcement and related education. Not accepting this OTS grant would require additional overtime expenditures from General Fund resources or could result in a decrease in the overall safety of the citizens of Berkeley.

CONTACT PERSON

Lieutenant Jen Tate, Police Traffic Bureau, (510) 981-5983

Attachments:

1: Resolution

Exhibit A: OTS Grant Agreement

RESOLUTION NO. ##,###-N.S.

REVENUE GRANT: SELECTIVE TRAFFIC ENFORCEMENT PROGRAM (STEP)
GRANT FROM THE OFFICE OF TRAFFIC SAFETY TO FUND STRATEGIES TO
REDUCE THE NUMBER OF INJURIES AND DEATHS RELATED TO TRAFFIC
COLLISIONS IN THE CITY OF BERKELEY

WHEREAS, the Police Department is committed to providing a safe and secure environment through law enforcement within the City of Berkeley; and

WHEREAS, the Office of Traffic Safety (OTS) has made grant funding available to the Police Department to assist them in their mission of increasing traffic safety; and

WHEREAS, this grant will provide funding to support efforts to reduce injury and fatal collisions involving pedestrians, bicyclists, motorcyclists, and motorists on all City of Berkeley roadways and highways; and

WHEREAS, grant revenues will be deposited into budget code 309-71-703-812-0000-000-000-431110, in the DUI Enforcement Education Program Fund.

NOW THEREFORE, BE IT RESOLVED by the Council of the City of Berkeley that the Chief of Police is authorized to accept the Selective Traffic Enforcement Program (STEP) Grant and enter into the resultant grant agreement and any amendments, with the Office of Traffic Safety (OTS) to fund increased levels of impaired or distracted driving enforcement, nighttime seatbelt enforcement, motorcycle safety enforcement, and educational programs regarding bicycle and pedestrian collisions in the amount of \$180,000 for the period of October 1, 2021 to September 30, 2022.

Exhibit

A: OTS Grant Agreement

1.	GRANT TITLE	
	Selective Traffic Enforcement Program (STEP)	
2.	NAME OF AGENCY	3. Grant Period
	Berkeley	From: 10/01/2021
4.	AGENCY UNIT TO ADMINISTER GRANT	To: 09/30/2022
	Berkeley Police Department	

5. GRANT DESCRIPTION

Best practice strategies will be conducted to reduce the number of persons killed and injured in crashes involving alcohol and other primary crash factors. The funded strategies may include impaired driving enforcement, enforcement operations focusing on primary crash factors, distracted driving, night-time seat belt enforcement, special enforcement operations encouraging motorcycle safety, enforcement and public awareness in areas with a high number of bicycle and pedestrian crashes, and educational programs. These strategies are designed to earn media attention thus enhancing the overall deterrent effect.

6. Federal Funds Allocated Under This Agreement Shall Not Exceed: \$180,000.00

- 7. **TERMS AND CONDITIONS:** The parties agree to comply with the terms and conditions of the following which are by this reference made a part of the Agreement:
 - Schedule A Problem Statement, Goals and Objectives and Method of Procedure
 - Schedule B Detailed Budget Estimate and Sub-Budget Estimate (if applicable)
 - Schedule B-1 Budget Narrative and Sub-Budget Narrative (if applicable)
 - Exhibit A Certifications and Assurances
 - Exhibit B* OTS Grant Program Manual
 - Exhibit C Grant Electronic Management System (GEMS) Access

Items shown with an asterisk (), are hereby incorporated by reference and made a part of this agreement as if attached hereto.

These documents can be viewed at the OTS home web page under Grants: www.ots.ca.gov.

We, the officials named below, hereby swear under penalty of perjury under the laws of the State of California that we are duly authorized to legally bind the Grant recipient to the above described Grant terms and conditions.

N.WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

IN WITNES	SS WHEREOF, this Agreement ha	as been execute	ed by the part	ties hereto.		
8. Appro	val Signatures					
A. GRANT DIRECTOR B. AUTHORIZING OFFICIAL						
NAME:	Jennifer Tate			Andrew Greenwood		
TITLE:	Lieutenant		_	Chief of Police		
	jtate@cityofberkeley.info		EMAIL:	5 7)	
	(510) 981-5983		Phone: (510) 981-5700			
Address:	2100 Martin Luther King, Jr. Way Berkeley, CA 94704		Address: 2100 Martin Luther King, Jr. Way Berkeley, CA 94704			
-	(Signature)	(Date)		(Signature)	(Date)	
C. Fis	CAL OFFICIAL		D. Au	THORIZING OFFICIAL OF OFFICE O	f Traffic Safety	
NAME:	Chuck Gunter		NAME:	Barbara Rooney		
TITLE:	Administrative & Fiscal Services Ma	nager	TITLE:	Director		
EMAIL:	cgunter@cityofberkeley.info		EMAIL: barbara.rooney@ots.ca.gov			
PHONE:	510-981-5976		PHONE: (916) 509-3030			
Address:	2100 Martin Luther King Jr. Way Berkeley, CA 94704		Address:	2208 Kausen Drive, Suite 300 Elk Grove, CA 95758		
	(Signature)	(Date)		(Signature)	(Date)	

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E. ACCOUNTING OFFICER OF OFFICE OF TRAFFIC SAFETY

NAME: Carolyn Vu

ADDRESS: 2208 Kausen Drive, Suite 300

Elk Grove, CA 95758

9. DUNS INFORMATION

SAMS#: KC7DYLV9EF25

REGISTERED

ADDRESS: 2180 Milvia Street 3rd Floor

CITY: Berkeley ZIP+4: 94704-1122

10. PROJECTED EXPENDITURES							
FUND	CFDA	ITEM/APPROPI	RIATION	F.Y.	CHAPTER STATUTE		PROJECTED EXPENDITURES
				AGREEMENT \$180,000.00		\$180,000.00	
					AMOUNT ENCUMBERED BY THIS DOCUMENT \$180,000.00		
I CERTIFY upon my own personal knowledge that the budgeted funds for the current budget year are available for the period and purpose of the expenditure stated above.					PRIOR AMOUNT ENCUMBERED FOR THIS AGREEMENT \$ 0.00		
OTS ACCOUNTING OFFICER'S SIGNATURE DATE SIGNED				NED	TOTAL AMOUNT ENCUMBERED TO DATE \$180,000.00		

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GRANT NUMBER PT22149

1. PROBLEM STATEMENT

In California alone, there are 49 cities with larger populations than Berkeley. In Alameda County, Berkeley is ranked number four in population behind Oakland, Fremont, and Hayward. Yet, the City of Berkeley is famous around the globe.

As of 2018, Berkeley's population was over 121,000. The population density was over 11,000 per square mile. Nearly 48 percent of Berkeley residents use a motor vehicle to commute to work, just under 8 percent used a bicycle and 16 percent walked. Berkeley makes up only 8 percent of Alameda County's population but more than 37 percent of the county's population of people who walk and ride bikes to work.

In addition to residents, the city's population spikes during the day as people commute to Berkeley for travel, special events, work, and education. UC Berkeley had nearly 43,000 student population in 2019. Located less than ½ mile away from UC Berkeley, is Berkeley City College, with a student population of over 6,000. Berkeley High School, located just 1 block away has a student population of over 3,000. All of these schools are near central Berkeley in the downtown business district.

Additionally, in 2018 Berkeley City Council voted to allow three scooter companies, each with 200-400 scooters to operate within the city. Berkeley joined a number of other cities in California and across the nation trying to maintain safety and implement regulations. According to a study published by the Center for Disease Control and Prevention and Public Health Departments of Austin, Tex., published in April 2019, found that for every 100,000 scooter rides, 20 people were injured. Half of the injuries were to the head and 15 percent showed evidence of traumatic brain injury. The City of Berkeley continually strives to promote safety for all forms of transportation as the large number of bicyclists and pedestrians share the crowded roadway with motorists.

Office of Traffic Safety (OTS) rankings place the City of Berkeley number one for the past seven years in pedestrian and bicycle related injury crashes when compared to fifty-nine other cities with comparable populations. Each year hundreds of people are injured in traffic crashes that occur in the City of Berkeley. Berkeley maintains a high ranking in total fatal and injury crashes, hit and run injury crashes, motorcycle involved crashes, nighttime crashes, and speed related crashes. With assistance from the 2022 Office of Traffic Safety Grant we will continue our efforts to reduce the number of citizens injured in crashes whether they choose to walk, drive, bike or ride a motorcycle in our city.

In 2018, the City of Berkeley was ranked number one (1/59) for crashes involving bicyclists; number one (1/59) for injury crashes involving pedestrians; number one (1/59) for crashes involving pedestrians sixty-five years of age and older; number five (5/59) for injury crashes involving motorcyclists; and number seven (7/59) for injury crashes involving bicyclists under fifteen year or age. Based on these statistics and OTS rankings, the City of Berkeley is one of the most dangerous cities in the State of California to walk or ride a bicycle. The fault for the bicycle injury crashes rested equally between the bicyclist and the motor vehicle operator.

Between March 2010 and December 2020, there have been twenty-six fatal auto crashes in the City of Berkeley. Thirteen of those resulted in a pedestrian being killed, and five resulted in a bicyclist being killed. In 2020 alone Berkeley Police Investigated two fatal crashes.

On Monday, January 20th 2020, at approximately 10:33 a.m., a 58 year old female pedestrian was laying on the sidewalk on University Ave near 6th St. A domestic violence suspect was driving a Nissan Maxima, and in an attempt to evade police, drove onto the sidewalk hitting the victim and fleeing. The female pedestrian died due to the injuries and trauma caused by the crash. The suspect in the fatal hit and run crash was eventually captured and charged with murder, vehicular manslaughter with gross negligence counts, evading an officer causing death, leaving the scene of an accident causing death, and corporal injury to a relationship partner.

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On Tuesday, February 11th 2020 at approximately 9:20 a.m., a 20 year old male was the sole occupant of an Infinity that was driving southbound on San Pablo Ave approaching the intersection with Ashby Ave. This intersection is controlled by signal lights which were working properly and not a factor in the crash. For unknown reasons the driver accelerated to approx. 40 MPH before colliding with a traffic signal pole. The driver later succumbed to his injuries and died at Highland Hospital. He had a quantity of marijuana metabolite in his blood, but the investigation could not determine if this was a factor in the crash.

In 2020, 384 persons were injured in auto crashes in the City of Berkeley. 68 of those were pedestrians, 63 were bicyclists. In crashes involving a pedestrian, the motor vehicle operator was found at fault over eighty-seven percent of the time. In crashes involving a bicyclist, the bicyclist was found at fault approximately fifty percent of the time. These factors are important in formulating Berkeley PD's enforcement strategy in these two critical areas.

In 2020, Berkeley Police made 100 misdemeanor DUI arrests (79 alcohol, 13 drugs only, 6 combo), 7 felony DUI arrests, and 2 minor with BAC over .05.

Over the past six years (1/2015-1/2021), 168 injuries occurred in crashes where alcohol or drugs were a factor. Continued, proactive enforcement through DUI saturation patrols and DUI checkpoints funded through the OTS grant will be an effective aid for taking dangerously impaired drivers off the road, and also educating the community as to the dangers of drug and alcohol impaired driving. Due to Covid-19 and staffing levels in years past, Berkeley PD was unable to conduct many DUI Checkpoints, but were able to keep the roadways safer by using the DUI Saturation funds.

Unsafe speed (22350 VC) was the most prevalent primary crash factor in 2020. 85 people were injured as a result of unsafe speed. According to OTS rankings for 2018 the City of Berkeley ranked in the top ten (10/59) for speed related injury crashes. The majority of roadways in Berkeley have 25 MPH speed limits. Drivers travelling in the City of Berkeley at unsafe speeds continues to be an enforcement priority for the Berkeley Police Department. OTS funds will greatly assist the department and allow us to expand our enforcement efforts.

According to OTS rankings for 2018 the City of Berkeley ranks fourteen (14/59) in Total Fatal and Injury crashes.

According to NHTSA, "Of the 37,133 people killed in motor vehicle crashes in 2017 47% were not wearing seatbelts." Berkeley Police understands the importance of buckling up. In 2020 BPD Officers issued about 120 citations for seatbelt violations. Many of these were issued by traffic enforcement officers when using OTS Funds to staff extra enforcement during "Click it or ticket".

A factor that is vastly under reported in injury crashes in the City of Berkeley is distracted driving due to cellular phone use. According NHTSA 3,166 people were killed by distracted driving in 2017. While it is often difficult to determine if this is the primary crash factor in many crashes, BPD Officers issued about 500 citations for driving while using a cellphone device in a non-hands free manner in 2020.

Based on the NHTSA findings, drivers who text while behind the wheel have a twenty-three percent chance of causing a crash. OTS funds will greatly assist our 2022 efforts to prevent distracted driving in the City of Berkeley.

Two of the core methodologies that the Berkeley PD Traffic Unit employs, are the use of internal crash and SWITRS data to identify the top PCF's and the most dangerous roadways in the city for vehicles, pedestrians, and bicyclists, and to direct the majority of available resources to those areas. Secondly, altering traffic violator behavior through vigorous traffic enforcement—by issuing citations, rather than warnings.

Funds from the Office of Traffic Safety 2022 grant will assist members of the Berkeley Police Department in its mission to reduce the overall number of injury crashes, bicycle and pedestrian related crashes, alcohol related crashes, speed related crashes, motorcycle related crashes, and crashes involving distracted driving.

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Currently, the traffic bureau at the Berkeley Police Department is staffed by four motor officers, one traffic data analyst, one sergeant and one lieutenant. Motor officer's responsibilities include injury-crash investigations and traffic enforcement; however, resources often deplete rapidly due to ancillary duties and personnel shortages in the operations/patrol division. OTS funding builds upon our current traffic safety efforts by allowing patrol and motor officers opportunities to address traffic safety—most significantly pedestrian and bicycle safety, distracted and impaired driving— in addition to and outside of their regularly scheduled duties. Additionally, the funds provide opportunities for leadership development and learning in traffic safety and DUI/impaired driving enforcement and enhance the department's overall mission of public safety.

2. PERFORMANCE MEASURES

A. Goals:

- 1. Reduce the number of persons killed in traffic crashes.
- 2. Reduce the number of persons injured in traffic crashes.
- 3. Reduce the number of pedestrians killed in traffic crashes.
- 4. Reduce the number of pedestrians injured in traffic crashes.
- 5. Reduce the number of bicyclists killed in traffic crashes.
- 6. Reduce the number of bicyclists injured in traffic crashes.
- 7. Reduce the number of persons killed in alcohol-involved crashes.
- 8. Reduce the number of persons injured in alcohol-involved crashes.
- 9. Reduce the number of persons killed in drug-involved crashes.
- 10. Reduce the number of persons injured in drug-involved crashes.
- 11. Reduce the number of persons killed in alcohol/drug combo-involved crashes.
- 12. Reduce the number of persons injured in alcohol/drug combo-involved crashes.
- 13. Reduce the number of motorcyclists killed in traffic crashes.
- 14. Reduce the number of motorcyclists injured in traffic crashes.
- 15. Reduce hit & run fatal crashes.
- 16. Reduce hit & run injury crashes.
- 17. Reduce nighttime (2100 0259 hours) fatal crashes.
- 18. Reduce nighttime (2100 0259 hours) injury crashes.

	Treduce ingrittime (2 100 - 0209 flours) injury crashes.	1 —
	Objectives:	Target Number
1.	Issue a press release announcing the kick-off of the grant by November 15. The kick-off press releases and media advisories, alerts, and materials must be emailed to the OTS Public Information Officer at pio@ots.ca.gov, and copied to your OTS Coordinator, for approval 14 days prior to the issuance date of the release.	1
2.	Participate and report data (as required) in the following campaigns, National Walk to School Day, National Teen Driver Safety Week, NHTSA Winter Mobilization, National Distracted Driving Awareness Month, National Motorcycle Safety Month, National Bicycle Safety Month, National Click it or Ticket Mobilization, NHTSA Summer Mobilization, National Child Passenger Safety Week, and California's Pedestrian Safety Month.	10
3.	Develop (by December 31) and/or maintain a "HOT Sheet" program to notify patrol and traffic officers to be on the lookout for identified repeat DUI offenders with a suspended or revoked license as a result of DUI convictions. Updated HOT sheets should be distributed to patrol and traffic officers monthly.	12
4.	Send law enforcement personnel to the NHTSA Standardized Field Sobriety Testing (SFST) (minimum 16 hours) POST-certified training.	2
5.	Send law enforcement personnel to the NHTSA Advanced Roadside Impaired Driving Enforcement (ARIDE) 16 hour POST-certified training.	2
6.	Send law enforcement personnel to the Drug Recognition Expert (DRE) training.	2
7.	Send law enforcement personnel to the DRE Recertification training.	1
8.	Send law enforcement personnel to SFST Instructor training.	1
9.	Send law enforcement personnel to DRE Instructor training.	1

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10. Conduct DUI/DL Checkpoints. A minimum of the kpoint should be conducted during the NHTSA Winter Mobilization and 1 during the Summer Mobilization. To enhance the overall deterrent effect and promote high visibility, it is recommended the grantee issue an advance press release and conduct social media activity for each checkpoint. For combination DUI/DL checkpoints, departments should issue press releases that mention DL's will be checked at the DUI/DL checkpoint. Signs for DUI/DL checkpoints should read "DUI/Driver's License Checkpoint Ahead." OTS does not fund or support independent DL checkpoints. Only on an exception basis and with OTS pre-approval will OTS fund checkpoints that begin prior to 1800 hours. When possible, DUI/DL Checkpoint screeners should be DRE- or ARIDE-trained.	3
11. Conduct DUI Saturation Patrol operation(s).	21
12. Conduct Traffic Enforcement operation(s), including but not limited to, primary crash factor violations.	30
 Conduct highly publicized Distracted Driving enforcement operation(s) targeting drivers using hand held cell phones and texting. 	28
14. Conduct highly publicized Motorcycle Safety enforcement operation(s) in areas or during events with a high number of motorcycle incidents or crashes resulting from unsafe speed, DUI, following too closely, unsafe lane changes, improper turning, and other primary crash factor violations by motorcyclists and other drivers.	29
15. Conduct highly publicized pedestrian and/or bicycle enforcement operation(s) in areas or during events with a high number of pedestrian and/or bicycle crashes resulting from violations made by pedestrians, bicyclists, and drivers.	32
16. Conduct Traffic Safety educational presentation(s) with an effort to reach community members. Note: Presentation(s) may include topics such as distracted driving, DUI, speed, bicycle and pedestrian safety, seat belts and child passenger safety.	4

3. METHOD OF PROCEDURE

A. Phase 1 – Program Preparation (1st Quarter of Grant Year)

- The department will develop operational plans to implement the "best practice" strategies outlined in the objectives section.
- All training needed to implement the program should be conducted this quarter.
- All grant related purchases needed to implement the program should be made this quarter.
- In order to develop/maintain the "Hot Sheets," research will be conducted to identify the "worst of
 the worst" repeat DUI offenders with a suspended or revoked license as a result of DUI
 convictions. The Hot Sheets may include the driver's name, last known address, DOB,
 description, current license status, and the number of times suspended or revoked for DUI. Hot
 Sheets should be updated and distributed to traffic and patrol officers at least monthly.
- Implementation of the STEP grant activities will be accomplished by deploying personnel at high crash locations.

Media Requirements

 Issue a press release approved by the OTS PIO announcing the kick-off of the grant by November 15, but no sooner than October 1. The kick-off release must be approved by the OTS PIO and only distributed after the grant is fully signed and executed. If you are unable to meet the November 15 deadline to issue a kick-off press release, communicate reasons to your OTS coordinator and OTS PIO.

B. Phase 2 - Program Operations (Throughout Grant Year)

• The department will work to create media opportunities throughout the grant period to call attention to the innovative program strategies and outcomes.

Media Requirements

- The following requirements are for all grant-related activities
- Send all media advisories, alerts, videos, graphics, artwork, posters, radio/PSA/video scripts, storyboards, digital and/or print educational materials for grant-related activities to the OTS PIO at pio@ots.ca.gov for approval and copy your OTS coordinator. Optimum lead time would be 7 days before the scheduled release but at least 3 business days prior to the scheduled release date for review and approval is appreciated.

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- The OTS PIO is responsible for the approval of the design and content of materials. The agency
 understands OTS PIO approval is not authorizing approval of budget expenditure or cost. Any
 cost approvals must come from the Coordinator.
- Pre-approval is not required when using any OTS-supplied template for media advisories, press
 releases, social media graphics, videos or posts, or any other OTS-supplied educational material.
 However, copy the OTS PIO at pio@ots.ca.gov and your OTS coordinator when any material is
 distributed to the media and public, such as a press release, educational material, or link to social
 media post. The OTS-supplied kick-off press release templates and any kickoff press releases
 are an exception to this policy and require prior approval before distribution to the media and
 public.
- If an OTS-supplied template, educational material, social media graphic, post or video is substantially changed, the changes shall be sent to the OTS PIO at pio@ots.ca.gov for approval and copy to your OTS Coordinator. Optimum lead time would be 7 days prior to the scheduled release date, but at least 3 business days prior to the scheduled release date for review and approval is appreciated.
- Press releases, social media posts and alerts on platforms such as NextDoor and Nixle reporting
 immediate and time-sensitive grant activities (e.g. enforcement operations, day of event
 highlights or announcements, event invites) are exempt from the OTS PIO approval process. The
 OTS PIO and your Coordinator should still be notified when the grant-related activity is
 happening (e.g. car seat checks, bicycle rodeos, community presentations, DUI checkpoints,
 etc.).
- Enforcement activities such as warrant and probation sweeps, court stings, etc. that are
 embargoed or could impact operations by publicizing in advance are exempt from the PIO
 approval process. However, announcements and results of activities should still be copied to the
 OTS PIO at pio@ots.ca.gov and your Coordinator with embargoed date and time or with
 "INTERNAL ONLY: DO NOT RELEASE" message in subject line of email.
- Any earned or paid media campaigns for TV, radio, digital or social media that are part of a
 specific grant objective, using OTS grant funds, or designed and developed using contractual
 services by a sub-grantee, requires prior approval. Please send to the OTS PIO at
 pio@ots.ca.gov for approval and copy your grant coordinator at least 3 business days prior to the
 scheduled release date.
- Social media posts highlighting state or national traffic safety campaigns (Distracted Driving Month, Motorcycle Safety Awareness Month, etc.), enforcement operations (DUI checkpoints, etc.), or any other grant-related activity such as Bicycle rodeos, presentations, or events, are highly encouraged but do not require prior approval.
- Submit a draft or rough-cut of all digital, printed, recorded or video material (brochures, posters, scripts, artwork, trailer graphics, digital graphics, social posts connected to an earned or paid media campaign grant objective) to the OTS PIO at pio@ots.ca.gov and copy your OTS Coordinator for approval prior to the production or duplication.
- Use the following standard language in all press, media, and printed materials, space permitting: Funding for this program was provided by a grant from the California Office of Traffic Safety, through the National Highway Traffic Safety Administration.
- Space permitting, include the OTS logo on all grant-funded print materials, graphics and paid or earned social media campaign grant objective; consult your OTS Coordinator for specifics, format-appropriate logos, or if space does not permit the use of the OTS logo.
- Email the OTS PIO at pio@ots.ca.gov and copy your OTS Coordinator at least 21 days in advance, or when first confirmed, a short description of any significant grant-related traffic safety event or program, particularly events that are highly publicized beforehand with anticipated media coverage so OTS has sufficient notice to arrange for attendance and/or participation in the event. If unable to attend, email the OTS PIO and coordinator brief highlights and/or results, including any media coverage (broadcast, digital, print) of event within 7 days following significant grant-related event or program. Media and program highlights are to be reflected in QPRs.
- Any press releases, work plans, scripts, storyboards, artwork, graphics, videos or any
 educational or informational materials that received PIO approval in a prior grant year needs to
 be resubmitted for approval in the current grant year.
- Contact the OTS PIO or your OTS Coordinator for consultation when changes from any of the above requirements might be warranted.

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C. Phase 3 - Data Collection & Reporting (Phroughout Grant Year)

- 1. Prepare and submit invoice claims (due January 30, April 30, July 30, and October 30)
- 2. Prepare and submit Quarterly Performance Reports (QPR) (due January 30, April 30, July 30, and October 30)
 - Collect and report quarterly, appropriate data that supports the progress of goals and objectives.
 - Provide a brief list of activity conducted, procurement of grant-funded items, and significant media activities. Include status of grant-funded personnel, status of contracts, challenges, or special accomplishments.
 - Provide a brief summary of quarterly accomplishments and explanations for objectives not completed or plans for upcoming activities.
 - Collect, analyze and report statistical data relating to the grant goals and objectives.

4. METHOD OF EVALUATION

Using the data compiled during the grant, the Grant Director will complete the "Final Evaluation" section in the fourth/final Quarterly Performance Report (QPR). The Final Evaluation should provide a brief summary of the grant's accomplishments, challenges and significant activities. This narrative should also include whether goals and objectives were met, exceeded, or an explanation of why objectives were not completed.

5. ADMINISTRATIVE SUPPORT

This program has full administrative support, and every effort will be made to continue the grant activities after grant conclusion.

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FUND NUMBER	CATALOG FUND DESCRIPTION NUMBER (CFDA)		TOTAL AMOUNT	
402PT-22	20.600	State and Community Highway Safety	\$100,000.00	
164AL-22	20.608	Minimum Penalties for Repeat Offenders for Driving While Intoxicated	\$80,000.00	

Cost Category	FUND NUMBER	UNIT COST OR RATE	Units	TOTAL COST TO GRANT		
A. PERSONNEL COSTS						
Positions and Salaries						
Straight Time				40.00		
Overtime				\$0.00		
Overtime	40441 00	* 40.500.00	•	\$40,000,00		
DUI/DL Checkpoints	164AL-22	\$13,536.00	3	\$40,608.00		
DUI Saturation Patrol	164AL-22	\$1,410.00	21	\$29,610.00		
Benefits - OT	164AL-22	\$70,218.00	30	\$6,959.00		
Traffic Enforcement Operations Distracted Driving Operations	402PT-22 402PT-22	\$705.00 \$705.00	28	\$21,150.00		
Motorcycle Enforcement Operations	402PT-22	\$705.00	29	\$19,740.00 \$20,445.00		
Pedestrian/Bicycle Operations	402PT-22	\$705.00	32	\$22,560.00		
Traffic Safety Education	402PT-22	\$846.00	4	\$3,384.00		
Benefit Rate	402PT-22	\$87,279.00	1	\$8,649.00		
Category Sub-Total	1021122	ψο: ,Ξ: σ:σσ	· · · · · · · · · · · · · · · · · · ·	\$173,105.00		
B. TRAVEL EXPENSES						
In State Travel	402PT-22	\$4,071.65	1	\$4,072.00		
The state Travel	1021122	ψ 1,01 1100	•	\$0.00		
Category Sub-Total				\$4,072.00		
C. CONTRACTUAL SERVICES						
				\$0.00		
Category Sub-Total				\$0.00		
D. EQUIPMENT						
-				\$0.00		
Category Sub-Total				\$0.00		
E. OTHER DIRECT COSTS						
DUI Checkpoint Supplies	164AL-22	\$2,823.40	1	\$2,823.00		
Category Sub-Total				\$2,823.00		
F. Indirect Costs						
				\$0.00		
Category Sub-Total				\$0.00		
GRANT TOTAL	•			\$180,000.00		

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BUDGET NARRATIVE

PERSONNEL COSTS

DUI/DL Checkpoints - Overtime for grant funded law enforcement operations conducted by appropriate department personnel.

DUI Saturation Patrol - DUI Saturation Patrol consists of two police officers working a minimum 5 hour shift.

Benefits - OT - 9.91% - Workers Compensation 8.46%, Medicare 1.45%

Traffic Enforcement Operations - Traffic Enforcement Operations typically consists of a solo officer working a minimum 5 hour shift.

Distracted Driving Operations - Distracted driving typically consists of a solo officer working a minimum five hour shift.

Motorcycle Enforcement Operations - Motorcycle Enforcement typically consists of a solo officer working a minimum five hour shift.

Pedestrian/Bicycle Operations - Pedestrian/Bicycle Operations may consist of solo officer shifts or officers working in teams. These shifts are a minimum of five hours.

Traffic Safety Education - Efforts focused on traffic safety through education rather than enforcement.

Benefit Rate - 9.91% - Workers Compensation 8.46%, Medicare 1.45%

TRAVEL EXPENSES

In State Travel - Costs are included for appropriate staff to attend conferences and training events supporting the grant goals and objectives and/or traffic safety. Local mileage for grant activities and meetings is included. Anticipated travel may include SFST, ARIDE, DRE training. All conferences, seminars or training not specifically identified in the Budget Narrative must be approved by OTS. All travel claimed must be at the agency approved rate. Per Diem may not be claimed for meals provided at conferences when registration fees are paid with OTS grant funds.

CONTRACTUAL SERVICES

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EQUIPMENT

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OTHER DIRECT COSTS

DUI Checkpoint Supplies - On-scene supplies needed to conduct sobriety checkpoints. Costs may include 28" traffic cones, MUTCD compliant traffic signs, MUTCD compliant high visibility vests (maximum of 10), traffic counters (maximum of 2), generator, gas for generators, lighting, reflective banners, electronic flares, PAS device supplies, heater, propane for heaters, fan, anti-fatigue mats, and canopies. Additional items may be purchased if approved by OTS. The cost of food and beverages will not be reimbursed.

INDIRECT COSTS

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STATEMENTS/DISCLAIMERS

Program Income default statement:

There will be no program income generated from this grant.

Enforcement Grant Quota Disclaimer:

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Nothing in this "agreement" shall be interpreted as a requirement, formal or informal, that a particular law enforcement officer issue a specified or predetermined number of citations in pursuance of the goals and objectives here under.

CHP Grant Program Disclaimer:

The OTS grant funded activities must be separate from the CHP Cannabis Tax Fund Grant Program activities and maintained under separate accounting/tracking/other codes (example: the same DUI checkpoint may not be funded by both the CHP and the OTS).

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CERTIFICATIONS AND ASSURANCES FOR HIGHWAY SAFETY GRANTS (23 U.S.C. Chapter 4; Sec. 1906, Pub. L. 109-59, As Amended By Sec. 4011, Pub. L. 114-94)

The officials named on the grant agreement, certify by way of signature on the grant agreement signature page, that the Grantee Agency complies with all applicable Federal statutes, regulations, and directives and State rules, guidelines, policies and laws in effect with respect to the periods for which it receives grant funding. Applicable provisions include, but are not limited to, the following:

GENERAL REQUIREMENTS

- 23 U.S.C. Chapter 4 Highway Safety Act of 1966, as amended
- Sec. 1906, Pub. L. 109-59, as amended by Sec. 4011, Pub. L. 114-94
- 23 CFR part 1300 Uniform Procedures for State Highway Safety Grant Programs
- 2 CFR part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards
- 2 CFR part 1201 Department of Transportation, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards

NONDISCRIMINATION

(applies to subrecipients as well as States)

The State highway safety agency will comply with all Federal statutes and implementing regulations relating to nondiscrimination ("Federal Nondiscrimination Authorities"). These include but are not limited to:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin) and 49 CFR part 21;
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. 324 et seq.), and Title IX of the Education Amendments of 1972, as amended (20 U.S.C. 1681-1683 and 1685-1686) (prohibit discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. 794 et seq.), as amended, (prohibits discrimination on the basis of disability) and 49 CFR part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. 6101 et seq.), (prohibits discrimination on the basis of age);
- The Civil Rights Restoration Act of 1987, (Pub. L. 100-209), (broadens scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal aid recipients, subrecipients and contractors, whether such programs or activities are Federally-funded or not);
- Titles II and III of the Americans with Disabilities Act (42 U.S.C. 12131-12189) (prohibits discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing) and 49 CFR parts 37 and 38;
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations (prevents discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations); and
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency (guards against Title VI national origin discrimination/discrimination because of limited English proficiency (LEP) by ensuring that funding recipients take reasonable steps to ensure that LEP persons have meaningful access to programs (70 FR 74087-74100).

The Subgrantee-

• Will take all measures necessary to ensure that no person in the United States shall, on the grounds of race, color, national origin, disability, sex, age, limited English proficiency, or membership in any other class protected by Federal Nondiscrimination Authorities, be excluded from participation in, be denied the benefits of,

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or be otherwise subjected to discrimination under any of the program is Federally-assisted;

- Will administer the program in a manner that reasonably ensures that any of its subrecipients, contractors, subcontractors, and consultants receiving Federal financial assistance under this program will comply with all requirements of the Non-Discrimination Authorities identified in this Assurance;
- Agrees to comply (and require its subrecipients, contractors, subcontractors, and consultants to comply) with all applicable provisions of law or regulation governing US DOT's or NHTSA's access to records, accounts, documents, information, facilities, and staff, and to cooperate and comply with any program or compliance reviews, and/or complaint investigations conducted by US DOT or NHTSA under any Federal Nondiscrimination Authority;
- Acknowledges that the United States has a right to seek judicial enforcement with regard to any matter arising under these Non-Discrimination Authorities and this Assurance;
- Agrees to insert in all contracts and funding agreements with other State or private entities the following clause:
- "During the performance of this contract/funding agreement, the contractor/funding recipient agrees—
- a. To comply with all Federal nondiscrimination laws and regulations, as may be amended from time to time;
- b. Not to participate directly or indirectly in the discrimination prohibited by any Federal non-discrimination law or regulation, as set forth in appendix B of 49 CFR part 2l and herein;
- c. To permit access to its books, records, accounts, other sources of information, and its facilities as required by the State highway safety office, US DOT or NHTSA;
- d. That, in event a contractor/funding recipient fails to comply with any nondiscrimination provisions in this contract/funding agreement, the State highway safety agency will have the right to impose such contract/agreement sanctions as it or NHTSA determine are appropriate, including but not limited to withholding payments to the contractor/funding recipient under the contract/agreement until the contractor/funding recipient complies; and/or cancelling, terminating, or suspending a contract or funding agreement, in whole or in part; and
- e. To insert this clause, including paragraphs (a) through (e), in every subcontract and sub agreement and in every solicitation for a subcontract or sub-agreement, that receives Federal funds under this program.

POLITICAL ACTIVITY (HATCH ACT)

(applies to subrecipients as well as States)

The State will comply with provisions of the Hatch Act (5 U.S.C. 1501-1508), which limits the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

CERTIFICATION REGARDING FEDERAL LOBBYING

(applies to subrecipients as well as States)

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of

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any cooperative agreement, and the extension, confidential of, rehewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions;
- 3. The undersigned shall require that the language of this certification be included in the award documents for all sub-award at all tiers (including subcontracts, subgrants, and contracts under grant, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

RESTRICTION ON STATE LOBBYING

(applies to subrecipients as well as States)

None of the funds under this program will be used for any activity specifically designed to urge or influence a State or local legislator to favor or oppose the adoption of any specific legislative proposal pending before any State or local legislative body. Such activities include both direct and indirect (e.g., "grassroots") lobbying activities, with one exception. This does not preclude a State official whose salary is supported with NHTSA funds from engaging in direct communications with State or local legislative officials, in accordance with customary State practice, even if such communications urge legislative officials to favor or oppose the adoption of a specific pending legislative proposal.

CERTIFICATION REGARDING DEBARMENT AND SUSPENSION (applies to subrecipients as well as States)

Instructions for Primary Tier Participant Certification (States)

- 1. By signing and submitting this proposal, the prospective primary tier participant is providing the certification set out below and agrees to comply with the requirements of 2 CFR parts 180 and 1200.
- 2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective primary tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary tier participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
- 3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default or may pursue suspension or debarment.
- 4. The prospective primary tier participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary tier participant learns its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 5. The terms covered transaction, civil judgment, debarment, suspension, ineligible, participant, person, principal, and voluntarily excluded, as used in this clause, are defined in 2 CFR parts 180 and 1200. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
- 6. The prospective primary tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person 7/16/2021 11:25:40 AM

who is proposed for debarment under 48 CFR parts, \$15paft3.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

- 7. The prospective primary tier participant further agrees by submitting this proposal that it will include the clause titled "Instructions for Lower Tier Participant Certification" including the "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion—Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions and will require lower tier participants to comply with 2 CFR parts 180 and 1200.
- 8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any prospective lower tier participants, each participant may, but is not required to, check the System for Award Management Exclusions website (https://www.sam.gov/).
- 9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, the department or agency may terminate the transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters-Primary Tier Covered Transactions

- (1) The prospective primary tier participant certifies to the best of its knowledge and belief, that it and its principals:
 - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
 - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.
- (2) Where the prospective primary tier participant is unable to certify to any of the Statements in this certification, such prospective participant shall attach an explanation to this proposal.

Instructions for Lower Tier Participant Certification

- 1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below and agrees to comply with the requirements of 2 CFR parts 180 and 1200.
- 2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered in to. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, the

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department or agency with which this transaction of the first pursue available remedies, including suspension or debarment.

- 3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 4. The terms covered transaction, civil judgment, debarment, suspension, ineligible, participant, person, principal, and voluntarily excluded, as used in this clause, are defined in 2 CFR parts 180 and 1200. You may contact the person to whom this proposal is submitted for assistance in obtaining a copy of those regulations. 5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- 6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Instructions for Lower Tier Participant Certification" including the "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions and will require lower tier participants to comply with 2 CFR parts 180 and 1200.
- 7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any prospective lower tier participants, each participant may, but is not required to, check the System for Award Management Exclusions website (https://www.sam.gov/).
- 8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension or debarment.

<u>Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -- Lower Tier Covered Transactions:</u>

- 1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.
- 2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

BUY AMERICA ACT

(applies to subrecipients as well as States)

The State and each subrecipient will comply with the Buy America requirement (23 U.S.C. 313) when purchasing items using Federal funds. Buy America requires a State, or subrecipient, to purchase with Federal funds only steel, iron and manufactured products produced in the United States, unless the Secretary of Transportation determines that such domestically produced items would be inconsistent with the public interest, that such materials are not reasonably available and of a satisfactory quality, or that inclusion of domestic materials will increase the cost of the overall project contract by more than 25 percent. In order to use Federal

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funds to purchase foreign produced items, the Stateshutst stibilit a waiver request that provides an adequate basis and justification for approval by the Secretary of Transportation.

PROHIBITION ON USING GRANT FUNDS TO CHECK FOR HELMET USAGE (applies to subrecipients as well as States)

The State and each subrecipient will not use 23 U.S.C. Chapter 4 grant funds for programs to check helmet usage or to create checkpoints that specifically target motorcyclists.

POLICY ON SEAT BELT USE

In accordance with Executive Order 13043, Increasing Seat Belt Use in the United States, dated April 16, 1997, the Grantee is encouraged to adopt and enforce on-the-job seat belt use policies and programs for its employees when operating company-owned, rented, or personally-owned vehicles. The National Highway Traffic Safety Administration (NHTSA) is responsible for providing leadership and guidance in support of this Presidential initiative. For information and resources on traffic safety programs and policies for employers, please contact the Network of Employers for Traffic Safety (NETS), a public-private partnership dedicated to improving the traffic safety practices of employers and employees. You can download information on seat belt programs, costs of motor vehicle crashes to employers, and other traffic safety initiatives at www.trafficsafety.org. The NHTSA website (www.nhtsa.gov) also provides information on statistics, campaigns, and program evaluations and references.

POLICY ON BANNING TEXT MESSAGING WHILE DRIVING

In accordance with Executive Order 13513, Federal Leadership On Reducing Text Messaging While Driving, and DOT Order 3902.10, Text Messaging While Driving, States are encouraged to adopt and enforce workplace safety policies to decrease crashes caused by distracted driving, including policies to ban text messaging while driving company-owned or rented vehicles, Government-owned, leased or rented vehicles, or privately-owned vehicles when on official Government business or when performing any work on or behalf of the Government. States are also encouraged to conduct workplace safety initiatives in a manner commensurate with the size of the business, such as establishment of new rules and programs or re-evaluation of existing programs to prohibit text messaging while driving, and education, awareness, and other outreach to employees about the safety risks associated with texting while driving.

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